



**Tri-Counties  
Regional Center**

## **ATTACHMENT B**

# **PURCHASE OF SERVICES EXPENDITURE AND DEMOGRAPHIC DATA REPORTS**

**Fiscal Year 2015-2016**



## **INTRODUCTION**

### **Welfare and Institutions Code Sections 4519.5 and 4519.6**

**4519.5.** (a) *The department and the regional centers shall annually collaborate to compile data in a uniform manner relating to purchase of service authorization, utilization, and expenditure by each regional center with respect to all of the following:*

*(1) The age of the consumer, categorized by the following:*

*(A) Birth to two years of age, inclusive.*

*(B) Three to 21 years of age, inclusive.*

*(C) Twenty-two years of age and older.*

*(2) Race or ethnicity of the consumer.*

*(3) Primary language spoken by the consumer, and other related details, as feasible.*

*(4) Disability detail, in accordance with the categories established by subdivision (a) of Section 4512, and, if applicable, a category specifying that the disability is unknown.*

*(5) Residence type, subcategorized by age, race or ethnicity, and primary language.*

*(6) Number of instances when the written copy of the individual program plan was provided at the request of the consumer and, when appropriate, his or her parents, legal guardian or conservator, or authorized representative, in a language other than a threshold language, as defined by paragraph (3) of subdivision (a) of Section 1810.410 of Title 9 of the California Code of Regulations, if that written copy was provided more than 60 days after the request.*

*(b) The data reported pursuant to subdivision (a) shall also include the number and percentage of individuals, categorized by age, race or ethnicity, and disability, and by residence type, as set forth in paragraph (5) of subdivision (a), who have been determined to be eligible for regional center services, but are not receiving purchase of service funds.*

*(c) By March 31, 2013, each regional center shall post the data described in this section that is specific to the regional center on its Internet Web site. Commencing on December 31, 2013, each regional center shall annually post this data by December 31. Each regional center shall maintain all previous years' data on its Internet Web site.*

*(d) By March 31, 2013, the department shall post the information described in this section on a statewide basis on its Internet Web site. Commencing December 31, 2013, the department shall annually post this information by December 31. The department shall maintain all previous years' data on its Internet Web site. The department shall also post notice of any regional center stakeholder meetings on its Internet Web site.*



*(e) Within three months of compiling the data with the department, and annually thereafter, each regional center shall meet with stakeholders in one or more public meetings regarding the data. The meeting or meetings shall be held separately from any meetings held pursuant to Section 4660. The regional center shall provide participants of these meetings with the data and any associated information related to improvements in the provision of developmental services to underserved communities and shall conduct a discussion of the data and the associated information in a manner that is culturally and linguistically appropriate for that community, including providing alternative communication services, as required by Sections 11135 to 11139.7, inclusive, of the Government Code and implementing regulations. Regional centers shall inform the department of the scheduling of those public meetings 30 days prior to the meeting. Notice of the meetings shall also be posted on the regional center's Internet Web site 30 days prior to the meeting and shall be sent to individual stakeholders and groups representing underserved communities in a timely manner. Each regional center shall, in holding the meetings required by this subdivision, consider the language needs of the community and shall schedule the meetings at times and locations designed to result in a high turnout by the public and underserved communities.*

*(f) (1) Each regional center shall annually report to the department regarding its implementation of the requirements of this section. The report shall include, but shall not be limited to, all of the following:*

*(A) Actions the regional center took to improve public attendance and participation at stakeholder meetings, including, but not limited to, attendance and participation by underserved communities.*

*(B) Copies of minutes from the meeting and attendee comments.*

*(C) Whether the data described in this section indicates a need to reduce disparities in the purchase of services among consumers in the regional center's catchment area. If the data does indicate that need, the regional center's recommendations and plan to promote equity, and reduce disparities, in the purchase of services.*

*(2) Each regional center and the department shall annually post the reports required by paragraph (1) on its Internet Web site by August 31.*

*(g) (1) The department shall consult with stakeholders, including consumers and families that reflect the ethnic and language diversity of regional center consumers, regional centers, advocates, providers, the protection and advocacy agency described in Section 4901, and those entities designated as University Centers for Excellence in Developmental Disabilities Education, Research, and Service pursuant to Section 15061 of Title 42 of the United States Code, to achieve the following objectives:*

*(A) Review the data compiled pursuant to subdivision (a).*

*(B) Identify barriers to equitable access to services and supports among consumers and develop recommendations to help reduce disparities in purchase of service expenditures.*



*(C) Encourage the development and expansion of culturally appropriate services, service delivery, and service coordination.*

*(D) Identify best practices to reduce disparity and promote equity.*

*(2) The department shall report the status of its efforts to satisfy the requirements of paragraph (1) during the 2016–17 legislative budget subcommittee hearing process.*

*(h) (1) Subject to available funding, the department shall allocate funding to regional centers to assist with implementation of the recommendations and plans developed pursuant to subdivisions (f) and (g). Activities funded through these allocations may include, but are not limited to, pay differentials supporting direct care bilingual staff of community-based service providers, parent or caregiver education programs, cultural competency training for regional center staff, outreach to underserved populations, or additional culturally appropriate service types or service delivery models.*

*(2) Each regional center shall consult with stakeholders regarding activities that may be effective in addressing disparities in the receipt of regional center services and the regional center's proposed requests for the funding specified in paragraph (1). Each regional center shall identify the stakeholders it consulted with and include information on how it incorporated the input of stakeholders into its requests.*

*(3) The department shall review requests for funding within 45 days from the deadline specified in the department's guidance to regional centers.*

*(4) Each regional center shall report to the department in the annual report required by subdivision (f) how the funding allocations were used and shall include recommendations of priorities for activities that may be effective in addressing disparities, based on the consultation with stakeholders.*

*(Amended by Stats. 2016, Ch. 26, Sec. 7. Effective June 27, 2016.)*

**4519.6.** *The department and the regional centers shall annually collaborate to determine the most appropriate methods to collect and compile meaningful data in a uniform manner, as specified in Section 4519.5, related to the payment of copayments, coinsurance, and deductibles by each regional center.*

*(Amended by Stats. 2014, Ch. 30, Sec. 14. Effective June 20, 2014.)*



## **REGIONAL CENTER PURCHASE OF SERVICES (POS) DATA LIMITATIONS OF POS EXPENDITURE DATA**

Tri-Counties Regional Center has collaborated with the Department of Developmental Services (DDS) to prepare this report and has posted it on its website, in accordance with these statutes. Included in this report is a summary of limitations related to the data. We encourage you to keep these limitations in mind when reviewing the data.

### **1. Purchase of Service Costs**

The Purchase of Service (POS) data displayed represents the cost of services that individuals received that are paid for by the regional center. This POS expenditure data does not include the cost of services individuals receive that are paid for by Supplemental Security Income, Medi-Cal, the school system, and other generic agencies. The POS expenditure data represents expenditures the regional center made for services persons received during Fiscal Year (FY) 2015-16 based on regional center POS state claim data that was provided by DDS as of November 30, 2016. The regional center may still make payments for services provided to people served during FY 2015-16 through February 2018.

DDS determined each person's age as of January 1, 2016. For example, if a person turned 22 on March 14, 2016, all of his or her expenditures would be included in the 3 years to 21 years age category.

Regarding the data for expenditures by residence type, a person's expenditures will be included in the residence type that he or she had as of the end of the fiscal year (June 30, 2016). For example, if a person lived in a Community Care Facility part of the year and then was admitted to a Developmental Center (DC) in May 2016, all of his or her expenses would be included in the DC residence category.

### **2. Person Count**

The total number of people served who received services during the fiscal year will be greater than the regional center's active caseload. An individual is included in the data if the person received a regional center funded service at any time during the fiscal year. An individual who received a single service once during the year is counted the same as a person who received ongoing monthly services. The individual is also counted regardless of their current status with the regional center. The data may include people whose current status is closed, transferred-out, inactive, etc. if the person received regional center services during FY 2015-16.



### **3. Contract Purchase of Service Expenditures**

Due to the limitations of the regional center's Uniform Fiscal System (UFS), POS expenditure data may not include payments made by the regional center that are paid to a service provider under a "contract." Currently, UFS is unable to allocate expenditures paid to a service provider under a "contract" to persons utilizing the "contract" service. Therefore, POS expenditures paid under a "contract" are excluded from the POS data. Typical services paid to a service provider under a "contract" may include, but are not limited to, transportation services, crisis services and supported employment program (SEP) group services. Therefore, the total amount of POS expenditures reported will not reconcile with the regional center's actual POS expenditures for FY 2015-16.

### **4. Authorized Services and Utilized Services**

Services that are authorized "encumber" the funds needed to pay for those services. The "Utilized" percentage shows what percent of authorized services are used and is determined by total expenditures divided by total authorized services.

### **5. Individuals with Multiple Diagnoses**

There are many persons served who have more than one diagnosis. In the reports that are indicated as "Legislative", these individuals will be counted in every category for which they have a diagnosis. As an example, a person with a diagnosis of both autism and epilepsy will be counted in both the "Autism" and "Epilepsy" categories. Therefore, the sum of the individuals reported in all the categories will be more than the total number of people served by the regional center.

### **6. 5<sup>th</sup> Category**

Persons listed in the 5<sup>th</sup> Category, according to Welfare and Institutions Code section 4512, are individuals who have "disabling conditions found to be closely related to intellectual disability or to require treatment similar to that required for individuals with an intellectual disability, but shall not include other handicapping conditions that are solely physical in nature."

### **7. Individual Program Plan**

All services provided through the regional center are a result of a planning team that includes the person served, family members, others invited by the person served and a TCRC service coordinator.



## **8. Ethnicity**

The ethnicity groups were provided by the Department of Developmental Services and were based on previous groups used by DDS in reporting demographic data on persons served by regional centers.

## **9. Residence**

Data regarding the residence of persons served is included. In many cases, the cost of services for a person served is affected by where the person lives. For example, persons living in the home of a parent or guardian will generally have lower regional center expenditures than a person who is not living with a parent or guardian.

## **10. Insurance Copayments and Coinsurance**

Language in Section 4659.1 of the Welfare and Institutions Code (WIC) authorizes regional centers to pay any applicable copayment, coinsurance or deductible associated with the service or support for which a parent, guardian, or caregiver is responsible. Section 4519.6 of WIC requires the regional centers collect and compile the data regarding copayments, coinsurance and deductibles.

## **11. Languages**

Languages included in these reports are shown in detail in some sections and grouped into summary categories in other sections. Where languages are grouped, a footnote is included to provide the reader examples of what is included in the summarized groups.

## **REPORTS**

To review TCRC's POS expenditure data reports, please visit our website at [www.tri-counties.org](http://www.tri-counties.org), and click on the Transparency & Public Info link in the top right corner of the home page.